ENCLOSURES (Check all that apply) Fee Transmittal Form	or an			PTO/SB/21 (08-03)		
TRANSMITTAL FORM Filting Date	6)		Application Number	10/719 504		
FORM (to be used for all correspondence after initial filing) First Named Inventor Epstein, Gordon H. Art Unit 3762 Examiner Name Unassigned Oz1872-001010US Examiner Name Unassigned	TRANSMITTAL	<u>.</u> '		<u> </u>		
Text Name Inventor Text Name		·	 	 		
Examiner Name Unassigned Cotal Number of Pages in This 5		*-:#:-! ####\				
Section Sect	(to be used for all correspondence after)	nitiai filing)	Art Unit			
ENCLOSURES (Check all that apply) Fee Transmittal Form			Examiner Name	Unassigned		
Fee Transmittal Form	Total Number of Pages in This Submission	5	Attorney Docket Number	021872-001010US		
Ree Attached		ENC	LOSURES (Check all that appl	(V)		
and Interferences Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) After Final After Final Petition to Convert to a Provisional Application After Final Peroprietary Information Change of Correspondence Address Terminal Disclaimer Extension of Time Request Express Abandonment Request Express Abandonment Request Information Disclosure Statement Certified Copy of Priority Document(s) Remarks The Commissioner is authorized to charge any additional fees to Deposit Account 20-1430. Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Townsend and Townsend and Crew LLP Nena Bains Reg. No. 47,400 Signature Date CERTIFICATE OF TRANSMISSION/MAILING Proprietary Information Appeal Communication to Group (Appeal Note; Brief) Proprietary Information Provisional Application Change of Correspondence Address The Commissioner is authorized to charge any additional fees to Deposit Account 20-1430. Reg. No. 47,400 Signature CERTIFICATE OF TRANSMISSION/MAILING Proprietary Information Proprietary Inform	Fee Transmittal Form	☐ Drawin	ıg(s)	After Allowance Communication to Group		
Amendment/Reply After Final Petition After Final Petition to Convert to a Provisional Application Aftidavits/declaration(s) Aftidavits/declaration(s) Aftidavits/declaration(s) Power of Attorney, Revocation Change of Correspondence Address Terminal Disclaimer Other Enclosure(s) (please identify below): Request for Refund CD, Number of CD(s) Information Disclosure Statement Certified Copy of Priority Document(s) Remarks Account 20-1430. Response to Missing Parts/Incomplete Application Response to Missing Parts Under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Townsend and Townsend and Crew LLP Or Individual Nena Bains Reg. No. 47,400 CERTIFICATE OF TRANSMISSION/MAILING Proprietary Information Proprietary Informatio	Fee Attached	Licens	ing-related Papers	Appeal Communication to Board of Appeals and Interferences		
Affidavits/declaration(s) Affidavits/declaration(s) Provisional Application Power of Attorney, Revocation Change of Correspondence Address Extension of Time Request Extension of Time Request Extension of Time Request Request for Refund CD, Number of CD(s) Information Disclosure Statement Certified Copy of Priority Document(s) Response to Missing Parts/Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Or Individual Nena Bains Reg. No. 47,400 CERTIFICATE OF TRANSMISSION/MAILING Proprietary Information Proprietary Information Proprietary Information Status Letter City Cher Enclosure(s) (please identify below): Return Postcard	Amendment/Reply	Petition	n	Appeal Communication to Group (Appeal		
Affidavits/declaration(s)	After Final			Proprietary Information		
Express Abandonment Request Request for Refund	Affidavits/declaration(s)	Power		Status Letter		
Express Abandonment Request Information Disclosure Statement Certified Copy of Priority Document(s) Response to Missing Parts/ Incomplete Application Response to Missing Parts Incom	Extension of Time Request	Termin	ıal Disclaimer ,			
Information Disclosure Statement Certified Copy of Priority Document(s) Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm or Individual Nena Bains Reg. No. 47,400 Signature Date CERTIFICATE OF TRANSMISSION/MAILING preby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.	Express Abandonment Request		•			
Certified Copy of Priority Document(s) Remarks The Commissioner is authorized to charge any additional fees to Deposit Account 20-1430. Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Townsend and Townsend and Crew LLP Nena Bains Reg. No. 47,400 Signature Date CERTIFICATE OF TRANSMISSION/MAILING reby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.	Information Disclosure Statement	L CD, NU	Imber of CD(s)			
Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Townsend and Townsend and Crew LLP Or Individual Nena Bains Reg. No. 47,400 Signature CERTIFICATE OF TRANSMISSION/MAILING Proby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.	Certified Copy of Priority	Rema	The Commissioner is authorized to charge any additional fees to Deposit Account 20-1430.			
Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Or Individual Nena Bains Reg. No. 47,400 Signature Date 3-19-04 CERTIFICATE OF TRANSMISSION/MAILING ereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.						
Firm Townsend and Townsend and Crew LLP or Individual Nena Bains Reg. No. 47,400 Signature Date 3-19-04 CERTIFICATE OF TRANSMISSION/MAILING ereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.	Response to Missing Parts					
Nena Bains Reg. No. 47,400 Signature Date 3-19-04 CERTIFICATE OF TRANSMISSION/MAILING ereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.	SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT					
Reg. No. 47,400 Signature Date 3-19-04 CERTIFICATE OF TRANSMISSION/MAILING ereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.	Firm Townsend and Townsend and Crew LLP					
Date 3-19-04 CERTIFICATE OF TRANSMISSION/MAILING ereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.	I None Reine Pag No 4 / 400					
CERTIFICATE OF TRANSMISSION/MAILING ereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.	Signature					
ereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.	Date 3-19-04					
first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.		CERTIFIC	ATE OF TRANSMISSION/M	AILING		
yped or printed name Michael Nowak	<u> </u>		77 7 d.c., 1 . 0 . 0 0 1 1 1 0 0 , 7 1 0 7	4 VA 22010-1400 011 110 0010 010111 330011		

Date

3/19/04

Signature

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

Attorney Docket No.: 021872-001010US

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450
On 3/19/04
TRADEMINIS

TOWNSEND and TOWNSEND and CREW LLP

By: Mall Vol

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

EPSTEIN et al.

Application No.: 10/718,504

Filed: November 19, 2003

For: EXPANSILE DEVICE FOR USE IN BLOOD VESSELS AND TRACTS IN

THE BODY AND METHOD

Examiner: Unassigned

Art Unit: 3762

INFORMATION DISCLOSURE

STATEMENT UNDER 37 CFR §1.97 and

§1.98

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

The references cited on attached forms PTO/SB/08A and PTO/SB/08B are being called to the attention of the Examiner. In accordance with the USPTO's decision to waive the requirement under 37 CFR 1.98 (a)(2)(i) for submitting U.S. published applications and patents in applications filed after June 30, 2003, applicant has not submitted copies of U.S. patent references.

Particular attention is called to references BB-BC. Both references were cited on the face of U.S. Patent 6,656,207 B2 (issued December 2, 2003), of which this application is a Continuation-in-Part. However, neither reference is accessible at this time. Should they become

Application No.: 10/718,504

Page 2

available to us in the future, we will forward them to the Examiner's attention at our earliest opportunity.

It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

Applicant believes that <u>no fee is required</u> for submission of this statement. However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,

Nena Bains

Reg. No. 47,400

TOWNSEND and TOWNSEND and CREW LLP Two Embarcadero Center, Eighth Floor San Francisco, California 94111-3834

Tel: 415-576-0200 Fax: 415-576-0300

NB:mbn 60168362 v1

PTO/SB/08A (08-03) Substitute for form 1449A/PTO Complete if Known 10/718,504 **Application Number** INFORMATION DISCLOSURE November 19, 2003 Filing Date STATEMENT BY APPLICANT First Named Inventor Epstein, Gordon H. Art Unit 3762 (use as many sheets as necessary) **Examiner Name** Unassigned

Attorney Docket Number

021872-001010US

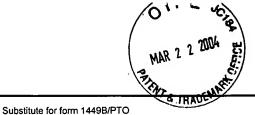
2

Sheet

U.S. PATENT DOCUMENTS+						
		Document Number				
Examiner Initials*	Cite No. ¹	Number Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
	AA	US-4,744,364	05-1988	Kensey		
	AB	US-4,852,568	08-1989	Kensey .		
	AC	US-4,890,612	01-1990	Kensey	,	
	AD	US-5,108,421	04-1992	Fowler		
	AE	US-5,171,259	12-1992	Inoue		
	AF	US-5,258,000	11-1993	Gianturco		
	AG	US-5,383,896	01-1995	Gershony et al.		
	AH	US-5,419,765	05-1995	Weldon et al.		
	Al	US-5,454,833	10-1995	Boussignac et al.		
	AJ	US-5,626,601	05-1997	Gershony et al.		
	AK	US-5,630,833	05-1997	Katsaros et al.		
· · · · · · · · · · · · · · · · · · ·	AL	US-5,634,936	06-1997	Linden et al.		
	AM	US-5,728,134	03-1998	Barak		
	AN	US-5,782,860	07-1998	Epstein et al.		
	AO	US-5,861,003	01-1999	Latson et al.		
	AP	US-5,868,778	02-1999	Gershony et al.		
	AQ	US-5,922,009	07-1999	Epstein et al.		
	AR	US-5,951,583	09-1999	Jensen et al.		
	AS	US-5,957,952 ·	09-1999	Gershony et al.		
	AT	US-6,017,359	01-2000	Gershony et al.		
	AU	US-6,048,358	04-2000	Barak		
	AV	US-6,056,770	05-2000	Epstein et al.		
	AW	US-6,296,657 B1	10-2001	Brucker	1	
	AX	US-6,464,712	10-2002	Epstein et al.		
•	AY	US-6,656,207 B2	12-2003	Epstein et al.		
:	AZ	US-2002/0133123 A1	09-2002	Zucker		
	BA	US-2003/0055454 A1	03-2003	Zucker		

Examiner Signature	λ	Date Considered	,	

PTO/SB/08B (08-03)



INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Complete if Known Application Number 10/718,504 Filing Date November 19, 2003 First Named Inventor Epstein, Gordon H. Art Unit 3762 Unassigned Examiner Name

(use as many sheets as necessary)

021872-001010US Sheet Attorney Docket Number

NON PATENT LITERATURE DOCUMENTS					
Examiner Initials * No. Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the ite (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.					
	BB	Datascope Corporation; "VasoSeal" product brochure; 1991.			
	вс	Sherwood, Davies & Geck; "AngioSeal" product brochure; 1977.			

Examiner		Date		
Signature		Considered		

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). Applicant is to place a check mark here if English language Translation is attached.